

1   **Title** Twenty-five years of variation in acorn mast production on Allegheny woodrat populations  
2   in western Maryland

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9  
10   **Abstract** The Allegheny woodrat (*Neotoma magister*) is a small mammal that inhabits rocky  
11   outcrops within deep caves and crevices of the Appalachian Mountains currently listed as near  
12   threatened globally (International Union for Conservation of Nature RedList) and endangered in  
13   Maryland (Maryland Department of Natural Resources). The reason for its current decline is  
14   attributed to a variety of factors, including loss or decline of mast species such as the American  
15   chestnut (*Castanea dentata* (Marsh.) Borkh.), butternut (*Juglans cinerea* L.), and oaks (*Quercus*  
16   *spp.*). Possible correlations between woodrat populations and oak mast production in western  
17   Maryland were analyzed from 27 years of data of woodrat populations and 16-22 years of  
18   proximate mast surveys. The Fishing Creek Allegheny woodrat population was significantly  
19   positively correlated ( $r = 0.800, p = 0.001$ ) with mast production collected at a site within 1.1 km.  
20   Three other woodrat sites were not significantly correlated with mast production, which may be  
21   attributed to a greater distance between survey sites or other factors. Sites were analyzed for  
22   presence and abundance of all mast producing species as well as other possible woodrat food  
23   sources. An important mast source, white oaks (*Quercus alba* L.) were not present at a single  
24   site. Gypsy moth (*Lymantria dispar*) infestations may also account for a lack of significant  
25   correlations since defoliations may be responsible for decreased acorn production in localized  
26   areas. We assessed proportions of earlywood from tree rings at woodrat sites to determine  
27   possible years with a higher proportion of earlywood that may correspond with gypsy moth  
28   defoliations and a reduction in mast production. White oak and blight-resistant American  
29   chestnut supplemental plantings may provide additional hard mast for woodrats in years between  
30   abundant mast production from northern red oaks (*Quercus rubra* L.), which were present at all  
31   of the woodrat sites.

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33   **Keywords** Allegheny woodrat, dendrochronology, earlywood, mast production, *Neotoma*  
34   *magister*, oaks, *Quercus*.

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44    **Introduction**

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46    Declines of wildlife species are sometimes attributed to one factor, but the case of the Allegheny  
47    woodrat's (*Neotoma magister*) decline in the eastern United States has remained puzzling and is  
48    now considered by many to be the result of a complex interplay of variables. LoGuidice (2006)  
49    suggests a multi-faceted approach to understanding the decline of the Allegheny woodrat, which  
50    includes habitat fragmentation, food decline, parasite mortality, and fluctuations in competitor and  
51    predator communities.

52    One of the main components of the Allegheny woodrat's diet is hard mast, particularly acorns that  
53    provide high energy during winter (Poole 1940; Castleberry et al. 2002; Ford et al. 2006;  
54    Manjerovic 2009). Oak masting events occur periodically, cycling every 2-4 years depending on  
55    the species (Sork et al. 1993) and therefore, do not provide a consistent source of overwintering  
56    food for the Allegheny woodrat. Several populations of mammalian and avian species that rely on  
57    oak mast have been shown to fluctuate with cyclical mast years including red-headed woodpeckers  
58    and blue jays (Smith 1986), white footed mice (Ostfeld 1996; Wolff 1996; McShea 2000), and  
59    white tailed deer (McShea 1993).

60    Populations of Allegheny woodrats in the north have declined drastically, extirpated in  
61    Connecticut and New York, while Maryland is continuing to see a sharp decline (Peles & Wright  
62    2008). The Allegheny woodrat is currently listed on the IUCN red list as near threatened, and is  
63    an S1 species in Maryland, Ohio, New Jersey, and New York. The cause of woodrat decline  
64    remains unknown and currently several culprits are potentially responsible, including habitat  
65    fragmentation, food decline or change, a parasite, *Baylisascaris procyonis* (raccoon roundworm),  
66    or a continuation of a long-term trend (Peles & Wright 2008). The food decline hypothesis refers

67 to American chestnut (*Castanea dentata*, (Marsh.) Borkh.), the major food source for Allegheny  
68 woodrats, was decimated by the introduced chestnut blight (*Cryphonectria parasitica* (Murr.)  
69 Barr) around the mid-20<sup>th</sup> century (Wright 2000). Prior to its decimation, the most common forest  
70 community in the Allegheny region was American chestnut-oak (Braun 1950). The Allegheny  
71 woodrat could have relied on the consistent annual hard mast produced by the American chestnut.  
72 Oaks (*Quercus* L.) have since replaced the American chestnut as the dominant overstory species  
73 (Keever 1953) and have become the Allegheny woodrat's preferred food source with highly  
74 energetic and nutritive acorn nuts (Poole 1940).

75 Oak trees in the northeast are currently experiencing a threat due to the exotic gypsy moth  
76 (*Lymantria dispar*) that is spreading throughout the Northeastern and Mid-Atlantic United States  
77 after its arrival in the United States in 1869. The gypsy moth is currently one of the most  
78 environmentally damaging forest pest in the eastern United States (Kauffman et al. 2017). Gypsy  
79 moth defoliation of oak leaves can prevent the tree from producing acorns for several years (Hall  
80 1988) and can lead to oak mortality. Recent Forest Inventory and Analysis data from the US Forest  
81 Service has shown a shift in some historically oak dominated forests to an influx in red maple  
82 (*Acer rubrum*) dominated forests, a tree species that does not produce a nutritive form of mast  
83 (McShea 2007).

84 Mengak and Castleberry (2008) observed a positive correlation between woodrats and oak mast  
85 production at 2 of 4 study sites in western Virginia as part of a 12 year study. One of the sites in  
86 their study was not oak-dominated and was used as a negative control. In West Virginia,  
87 Manjerovic et al. (2009) found a decline in overall woodrat populations and a positive correlation  
88 between adult female woodrat capture index and mast production. Few further studies have  
89 focused directly on the relationship between woodrats and hard mast, especially in Maryland. In

90 this study we looked not only at mast fluctuations, but dominance of hard mast producers and  
91 diversity within the woodrats' home ranges.

92 We investigate the food-decline hypothesis in an attempt to explain the continuing woodrat decline  
93 and we hypothesize that the Allegheny woodrat's decline is in part due to reduction in quality hard  
94 mast. The objective of this study was to analyze the relationship between 27 years of acorn counts  
95 and Allegheny woodrat population estimates as well as dominant tree species and diversity across  
96 western Maryland to determine the relationship between mast production and woodrat numbers.

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98

99 **Methods**

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101 *Study site description*

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103 The entire study area is contained in western Maryland and consists of a total of 6 regularly  
104 monitored Allegheny woodrat sites as well as 16 separate sites of mast survey data collection.  
105 The Department of Natural Resources (DNR) in Maryland collected woodrat and mast data from  
106 1990 through 2017. The Department of Natural Resources in Maryland conducted Allegheny  
107 woodrat trapping from 1991 to 2017 at 4 sites annually and 2 sites annually then biennially in  
108 western Maryland. Most active woodrat sites, where woodrats are consistently trapped, occurred  
109 in the Blue Ridge, Valley and Ridge, and Appalachian Plateau physiographic provinces in the  
110 four westernmost counties of Maryland and long-term monitoring occurred within these  
111 provinces (Bailey 1980). Allegheny woodrat trap sites are located along rocky outcrops and  
112 sandstone ridge lines at generally steeper slopes and higher elevations, which is consistent with

113 previously observed woodrat habitat preferences (Poole 1940; Rossell et al. 2009; Lombardi et  
114 al. 2017). For this study, we analyzed 4 active woodrat sites and the most proximal mast survey  
115 site with a similar elevation (Fig. 1).

116

117 *Allegheny woodrat trapping and population estimates*

118

119 Personnel with the Department of Natural Resources (DNR) Natural Heritage Program  
120 conducted long-term population monitoring of Allegheny woodrats at 6 sites; 4 monitored  
121 annually and 2 monitored biennially beginning in 1990 and continuing through 2017 (Fig. 2). At  
122 least one long-term site still occupied by woodrats is represented in each of the four westernmost  
123 counties. Trapping at each site was conducted over the course of two nights in July through  
124 September using 10 – 35 Tomahawk live traps baited with peanut butter (Model 202 [15.24 cm x  
125 15.24 cm x 48.26 cm] Tomahawk Live Trap, Tomahawk, Wisconsin, USA). Traps were set in  
126 crevices and beneath rock overhangs, close to latrines or middens, and were set in the same  
127 marked locations for consistency each year of the monitoring period. Trapping was conducted at  
128 the end of summer to correspond with the weaning of the young of the year and before nighttime  
129 temperatures became too cold. DNR personnel checked traps before 1100h the following  
130 morning and recorded the captured woodrat's sex, reproductive status, weight, age, recapture  
131 status, and if unmarked, each woodrat was given a unique ear tattoo. The second night of  
132 trapping consisted of the same methods, recording recapture status, demographic information,  
133 and marking new individuals.

134 Capture histories were analyzed using a Lincoln-Petersen estimate and 4 woodrat populations  
135 were used for analysis. Each of the woodrat sites used for this study were in different

136 physiographic regions, the High Rock and Dans Rock sites are in the Appalachian Plateau  
137 province, the Abe Mills site is in the Ridge and Valley province, and the Fishing Creek site is in  
138 the Blue Ridge province (Fig. 1). The elevation at the High Rock site was 907 m and the  
139 dominant overstory vegetative species were northern red oak (*Quercus rubra* L.), black birch  
140 (*Betula lenta* L.), red maple (*Acer rubrum* L.), and mountain ash (*Sorbus americana* L.). The  
141 elevation at Dans Rock was 862m and the dominant overstory vegetative species were black  
142 birch, northern red oak, red maple, and sassafras (*Sassafras albidum* Nutt. Nees).The elevation at  
143 Abe Mills site was 365 m and the dominant overstory vegetative species were northern red oak,  
144 black birch, tree of heaven (*Ailanthus altissima* (Mill.) Swingle), red maple, mockernut hickory  
145 (*Carya tomentosa* (Lam. ex Poir.) Nutt.), and chestnut oak (*Quercus montana* Willd.). The  
146 elevation at the Fishing Creek site was 355 m and the dominant overstory vegetative species  
147 were chestnut oak, northern red oak, eastern hemlock (*Tsuga canadensis* (L.) Carrière), and  
148 black birch.

149

150 *Mast surveys and abundance*

151

152 The Maryland DNR conducted mast surveys in August-September annually at 4 locations in the  
153 4 western counties of Maryland, Garrett, Allegany, Washington, and Frederick Counties (Fig. 2).  
154 Mast survey locations were chosen at 3 different elevation gradients, 1 low (202 – 516 m), 2  
155 medium (254 – 841 m), and 1 high (298 – 894 m). The ranges in elevations at each gradient  
156 represent the differences in average elevation throughout the physiographic provinces. DNR  
157 conducted the surveys on the same 20 trees, 10 from the white oak group and 10 from the red  
158 oak group throughout each year of the survey. Trees within the red oak group included northern

159 red oak (*Q. rubra* L.), black oak (*Q. velutina* Lam.), and scarlet oak (*Q. coccinea* Münchh) and  
160 trees within the white oak group included white oak (*Q. alba* L.) and chestnut oak (*Q. montana*  
161 Willd.). Trees were chosen based on maturity (i.e. only trees producing acorns) and were  
162 replaced if they were severely damaged or experienced mortality. The replacement tree was one  
163 of the same section of subgenera in the most proximate location to the damaged tree.  
164 Surveys were conducted based on the Sharp (1958) method, which consists of a count of the total  
165 number of acorns on the last 61cm (24 inches) of 10 randomly chosen upper canopy branches on  
166 each of the selected trees. Acorn counts using the Sharp method have been shown to be as or  
167 more effective than mast traps (Perry & Thill 1999) and average count numbers for each tree  
168 species was used in our analysis.

169

170 *Species richness and importance values*

171

172 We conducted tree surveys to determine the occurrence and abundance of hard mast species  
173 within the Allegheny woodrat's foraging range. At least 4 quadrats of 0.02 ha per Allegheny  
174 woodrat site were surveyed. We delineated plots randomly within the Allegheny woodrats'  
175 foraging zones, which are within 200 m of the trap sites, or the activity centers (Wright & Hall  
176 1996). Within each plot, we identified all woody tree species greater than 4.9 cm DBH (diameter  
177 at breast height) to species, and recorded DBH. We calculated importance values as a summation  
178 of each species' relative density, relative frequency, and relative dominance as derived from  
179 Curtis and McIntosh (1951). We analyzed two sites with SILVAH, or Silviculture of Allegheny  
180 Hardwoods, a computer tool used to assist foresters in forestry management decisions. We  
181 collected over- and understory data, including tree species, DBH, and saplings present and

182 entered data into the SILVAH computer software (Marquis et al. 1992). The resulting  
183 prescription gives a quantifiable result on the quality of the stand and regeneration. We looked at  
184 the SILVAH results in this study to determine if competitive regeneration of oaks is adequate at  
185 the woodrat sites, which will also help us determine if a site could benefit from supplemental  
186 planting.

187

188 *Mast survey trees as a surrogate for oaks within Allegheny woodrat habitat*

189

190 We used modified correlogram results from Fearer et al. (2008) that determined strong  
191 synchrony in acorn production across sites in western Maryland. The spatial synchrony they  
192 described was based on the same acorn surveys conducted by Maryland DNR and shows a strong  
193 autocorrelation in sites up to 164 km for the red oak group and 269 km for the white oak group.  
194 The woodrat sites fall well within the ranges of autocorrelation, the Dans Rock site is 23 km  
195 from the nearest mast site in Garrett County, the High Rock site is 9 km from the nearest mast  
196 survey site also in Garrett County. The Abe Mills site is 2.8 km away from the mast site in  
197 Washington County, while the Fishing Creek site is 1.1 km from the nearest mast survey site in  
198 Frederick County. Because these sites are within the range of synchrony we allowed mast  
199 surveys to be used as a surrogate for mast production at woodrat sites. Acorn counts were  
200 corrected for actual species present at woodrat sites. At all of the four woodrat sites only red oak  
201 and chestnut oak made up a large proportion of the canopy, and therefore, only mast data from  
202 those tree species were used in the analysis. We chose mast sites to compare to woodrat sites  
203 based on whether they were in the same physiographic province, their proximity, and similar  
204 elevation and species present.

205

206 *Dendrochronology*

207

208 We took increment cores from at least ten large, dominant oak trees at each woodrat sites  
209 between 2016 and 2017. Increment cores were also collected from every tree used in the mast  
210 surveys. Two cores were taken per each tree at approximately 180° from each other and parallel  
211 with the contour to avoid reaction wood. Cores were mounted and sanded following protocols  
212 from Stokes and Smiley (1968). Annual increments were measured to the nearest 0.001 mm from  
213 1970-2016 on a Velmex measuring system and cross-dated in COFECHA. Interseries correlation  
214 and mean sensitivity were calculated and raw tree ring series were standardized to remove age  
215 related growth. We measured earlywood and latewood on the standardized indices and calculated  
216 the percentage of earlywood. We noted the years in which earlywood percentage was higher than  
217 average, an indicator of gypsy moth defoliation and compared gypsy moth defoliation using  
218 aerial data from MD DNR at the woodrat sites and mast survey sites.

219

220 *Statistical analysis*

221

222 Spearman correlations were conducted using Lincoln-Petersen population estimates of woodrats  
223 and the average total acorn numbers. The acorn numbers were separated by the tree species  
224 dominant at the woodrat sites, the average number of acorns calculated, and species averages  
225 were summed. We used the Spearman correlation to determine if the amount of mast produced  
226 during the fall would affect the overwinter survival and reproductive rates in the form of

227 captured woodrats the following summer. We conducted all statistical analyses in R v. 3.4.0 (R  
228 Development Core Team, Vienna, Austria).

229

## 230 **Results**

231

### 232 *Woodrat density*

233

234 A total of 3,478 trap nights resulted in 637 captures of 304 woodrats at the 6 long-term sites  
235 between 1990 - 2016. Lincoln-Petersen population estimates show an overall decline of  
236 Allegheny woodrats at each of the monitored sites. The highest population estimate is 36 and  
237 each woodrat site has had at least one year with a population estimate of 20 or more woodrats.  
238 After 2004, population estimates did not exceed 6 and each site had at least one year with no  
239 woodrat captures, except at Dans Rock, which includes a population estimate of 14 woodrats in  
240 2014. The lack of capture may not denote an absence of woodrats at the metapopulation level  
241 though was likely indicative of local site extirpation. Monitoring sites were likely recolonized  
242 from nearby unmonitored sites following extirpation.because following a year or two of no  
243 detection, at least one woodrat was trapped, this included a capture at Abe Mills in 2017 (Dan  
244 Feller, personal obs.).

245

### 246 *Stand Structure*

247

248 Oak species make up a relatively high proportion of the canopy overstory at all 4 of the woodrat  
249 sites. The importance value (IV) is a measure of the most dominant species within a general area,

250 in this case ca. 150 m from the woodrat trap location. Northern red oak is the most dominant  
251 species, has the highest IV at High Rock and Abe Mills, and is the second most dominant species  
252 at Dans Rock and Fishing Creek. Northern red oak has the greatest IV among all sites at Dans  
253 Rock at 90.8 followed by High Rock at 85.6 (Table 2). Fishing Creek is the only site where  
254 chestnut oak is in the top 5 most dominant species (Table 2). Although, not in the data, chestnut  
255 oaks were present at the High Rock site, but were located above the rock outcrop.  
256 Oak regeneration from SILVAH results was most abundant at the Fishing Creek site with two  
257 thirds of the plots containing oak saplings compared with one third of plots containing oak  
258 saplings at the Abe Mills site (Table 3.). SILVAH data was not collected for the High Rock and  
259 Dans Rock sites.

260

261 *Acorn Abundance*

262

263 According to Sharp (1958), a good or bumper mast crop equates to greater than 13 acorns per  
264 terminal 24 inches of canopy tree branch for white oaks and greater than 16 acorns per terminal  
265 24 inches of canopy tree branch for red oaks. The total number of good/bumper mast years for  
266 northern red oaks and chestnut oaks was 9 for Garrett Co. (7 red oak, 2 chestnut oak), 15 for  
267 Washington Co.(12 red oak, 3 chestnut oak), and 8 for Frederick Co. (6 red oak, 2 chestnut oak).

268 Good mMast years occurred much less frequently often for chestnut oaks than for red oaks and  
269 were generally followed by a period of 1-13 years with little to no mast production. Red oaks  
270 exhibited a pattern of 2 or 3 consecutive mast years, followed by several years of little to no  
271 mast, which happened 8 times across the 3 counties.

272

273 *Effects of Acorns on Woodrats*

274

275 The Spearman correlation between woodrat populations and acorn counts were not significant at  
276 any of the sites except for the Fishing Creek and only with the previous year mast production ( $r$   
277 = 0.800  $p = 0.001$ , Table 1.). No site was significantly correlated ( $p < 0.05$ ) with the current year  
278 or two-year previous mast production. The High Rock woodrat population was negatively  
279 correlated to current year, previous year, and two-year previous mast production ( $r = -0.347$ , -  
280 0.069, and -0.029), while Abe Mills woodrat population was negatively correlated except for  
281 with the current year mast production ( $r = 0.178$ ). The Fishing Creek correlation coefficient ( $r =$   
282 0.800) was a much higher value than the Abe Mills ( $r = -0.291$ ), High Rock ( $r = -0.069$ ) or Dans  
283 Rock ( $r = 0.085$ ) values. The Fishing Creek woodrat population was also positively correlated  
284 with the current year mast production ( $r = 0.219$ ,  $p = 0.433$ ) and the two-year previous mast  
285 production ( $r = 0.324$ ,  $p = 0.281$ ) even though they were not significant (Table 1).

286

287 *Dendrochronology*

288

289 Early wood percentage has been shown to be affected by gypsy moth defoliation in oaks  
290 (Muzika & Liebhold 1999). Muzika and Liebhold (1999) observed a significantly higher  
291 earlywood percentage in oaks during years of gypsy moth defoliation because earlywood is  
292 produced prior to the onset of leaves and thus, gypsy moth defoliation. Because mast survey sites  
293 do not overlap directly with woodrat sites, a gypsy moth defoliation may have occurred across a  
294 woodrat site and not at a mast survey site and may have affected the mast production of that year  
295 or the following year. We looked at years of higher than average earlywood percentage to

296 determine marker years to investigate further (Fig. 5). Fishing Creek had the highest number  
297 years in which earlywood ring width was above average, 12 years, compared with High Rock, at 8  
298 years, Dans Rock 9 years, and Abe Mills 8 years (Table 3).

299

300 **Discussion**

301

302 Population densities of small mammals can fluctuate with mast production (Ostfeld 1996; Wolff  
303 1996; McShea 2000). Because woodrats are k-selected and not r-selected like white-footed mice,  
304 the effect of a mast year on woodrat population change may not be as immediate as with species  
305 like the white-footed mouse or the effects may not be distinguishable in direct capture numbers.  
306 Mengak and Castleberry (2008) noted a positive correlation between mast and woodrats at 2 of 4  
307 sites, but concluded that mast production is only a piece of the puzzle of the woodrat's survival.  
308 In 5 years of data, Manjerovic et al. (2009) found a positive relationship between adult female  
309 capture rates and mast, but again, concluded that mast is not the sole determinant of the  
310 Allegheny woodrat decline.

311

312 Oaks make up a dominant part of the canopy within woodrat foraging zones, but no site has more  
313 than 2 dominant oak species in the canopy. The presence of oaks at these extant woodrat sites  
314 may be the final factor that is allowing these populations to hold on while other confounding  
315 factors including size of rock outcrop, aspect, canopy cover, habitat fragmentation and disease  
316 are responsible for the woodrat's overall decline. These were not included as covariates in the  
317 current study, but should be included in future work. The diversity of other mast producing or  
318 edible species may also be a factor in the survival of these extant populations. Balcom and

319 Yahner (1996) found a significantly higher percentage of coniferous and mixed forest cover  
320 types at extant compared with extirpated woodrat sites, meaning either an increase in protective  
321 cover or a higher diversity and abundance of food species. Allegheny woodrats are opportunist  
322 feeders, which may explain the lack of extreme fluctuations at the Dans Rock site. Because oaks  
323 are not the dominant species, populations of woodrats at that site may not rely as heavily on mast  
324 crops and cache and eat more of other species such as black birch, which are available every  
325 year. Both northern red oak and black birch have very similar IV indices at Dans Rock, 93.2 and  
326 90.8, respectively (Fig. 4). In addition, the IV index for northern red oak is the highest at Dans  
327 Rock compared to the IV index for northern red oak at the other woodrat sites. The high IV of  
328 both a heavy mast producer and a non-mast producer, but edible tree species other than oak may  
329 account for the lack of extreme fluctuations in the woodrat populations at Dans Rock.

330

331 High Rock also has a relatively high red oak importance value index compared with the other  
332 sites, which may be why the woodrat population was able to bounce back after a decline in the  
333 years 2002 – 2003 (Fig. 3). Subsequently, the woodrat populations were not able to return to  
334 high numbers after bumper years in 2005 and 2007, but those years plus a bumper crop in 2011  
335 may have prevented the population from blinking out again. Abe Mills is the only site where  
336 exotic species, tree of heaven, are present in the canopy (Table 2). Tree of heaven makes up a  
337 relatively large proportion of the canopy with an importance value index of 46.6 and may  
338 represent a more highly disturbed site especially in the understory where invasive species may  
339 dominate other herbaceous food sources for the woodrat. Despite several good bumper crops in  
340 1996 – 1997, 2000 – 2002, 2006 – 2007, 2011 – 2013, and 2015, woodrat populations continue  
341 to decline. During the study period Fishing Creek experienced an invasion of both woody tree

342 (tree of heaven in spots– missed in plots?) and herbaceous exotic species that significantly  
343 diminished available native forage. In fact this site was an old growth forest stand and many of  
344 the large overstory oaks succumbed to gypsy moth defoliation opening the canopy and paving  
345 the way for invasive plants.

346

347 The Spearman correlation between woodrat population and mast production was significant at  
348 Fishing Creek, but not High Rock, Dans Rock or Abe Mills. The lack of significance at the 3  
349 sites may be attributed to the greater distances between woodrat and mast survey sites at High  
350 Rock, Dans Rock, and Abe Mills. Although, Fearer et al. (1993) showed mast production to be  
351 significantly strongly autocorrelated up to 269 km, small nuances such as microclimate or gypsy  
352 moth defoliation may account for a different average acorn production at the woodrat sites  
353 themselves. The woodrat population at Abe Mills and High Rock were both negatively correlated  
354 with the previous year's mast production (Table 1) and the correlation coefficient of woodrat  
355 population to mast production at Dans Rock was almost negligible ( $r = 0.0846$ ). The negative  
356 and low correlation coefficients may also be the result of variation in mast production at the  
357 woodrat site compared with the mast survey sites that were used in the correlation. The rocky  
358 thin poor soils and overall lower site index at woodrat sites when compared to the mast sites  
359 could have contributed to susceptibility to gypsy moth and just overall lower seed productivity.

360

361 White oak and red oak groups generally produce heavy mast crops in alternating years (Sork et  
362 al. 1993). Because the chestnut oak mast years were so few, only producing 2 or 3 heavy crops  
363 over the course of 27 years, a supplemental planting of white oak or reintroduction of blight-  
364 resistant American chestnut, *Castanea dentata*, may allow for acorn production to fill in the gaps

365 during the interim years of red oak mast production. Our results from SILVAH show low  
366 competitive regeneration for oaks at the woodrat sites (Table 4). One third of the plots at the Abe  
367 Mills site and two thirds of the plots at the Fishing Creek site contain oak saplings. Although  
368 neither meet the adequate requirement of oak regeneration for SILVAH, the Fishing Creek site is  
369 just under the 70% requirement for adequate oak regeneration. The low oak regeneration  
370 prediction suggests that supplementary oak planting would benefit the woodrat sites, especially  
371 Abe Mills, to ensure oak remains a consistent component of the overstory into the future.

372

373 Our dendrochronology preliminary analysis provided a list of years at each woodrat site where  
374 earlywood was a higher proportion of the total ring width. The years with higher proportions of  
375 earlywood will be further analyzed to correlate earlywood proportions to gypsy moth  
376 defoliations after Muzika and Liebhold (1999). Years with high earlywood percentage do not  
377 always correspond with low acorn production during the same year or following year, which  
378 may account for differences in acorn production directly at the woodrat sites compared with the  
379 mast survey sites.

380

381 The decline of the Allegheny woodrat is complex and is likely multi-faceted. The variability in  
382 oak masting, gypsy moth defoliation, and diversity of oaks providing hard mast may have an  
383 effect on the population fluctuations of the Allegheny woodrat. A strong correlation between  
384 mast production and woodrat population at Fishing Creek indicates that acorns are a potentially  
385 important factor in woodrat survival. The loss of large overstory oaks and invasion of non-edible  
386 exotic herbaceous plants may make the importance value of food high at this site. The lack of a  
387 significant correlation between mast production and woodrat population at the other 3 sites in

388 this study may be the result of spatial differences of the data or a more complex combination of  
389 factors.

390

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461   Regional Conservation Needs (RCN) Program. The RCN Program joins thirteen northeast states,  
462   the District of Columbia, and the U.S. Fish and Wildlife Service in a partnership to address  
463   landscape-scale, regional wildlife conservation issues. Progress on these regional issues is  
464   achieved through combining resources, leveraging funds, and prioritizing conservation actions  
465   identified in the State Wildlife Action Plans. See RCNGrants.org for more information.”

466

467 **Tables and Figures**

468

469 **Legends**

Figure 1. and Figure 2. Legend

● Mast Survey Sites

▲ Woodrat Sites

### **Physiographic Province**



Appalachian Plateaus Province



Ridge and Valley Province



Blue Ridge Province

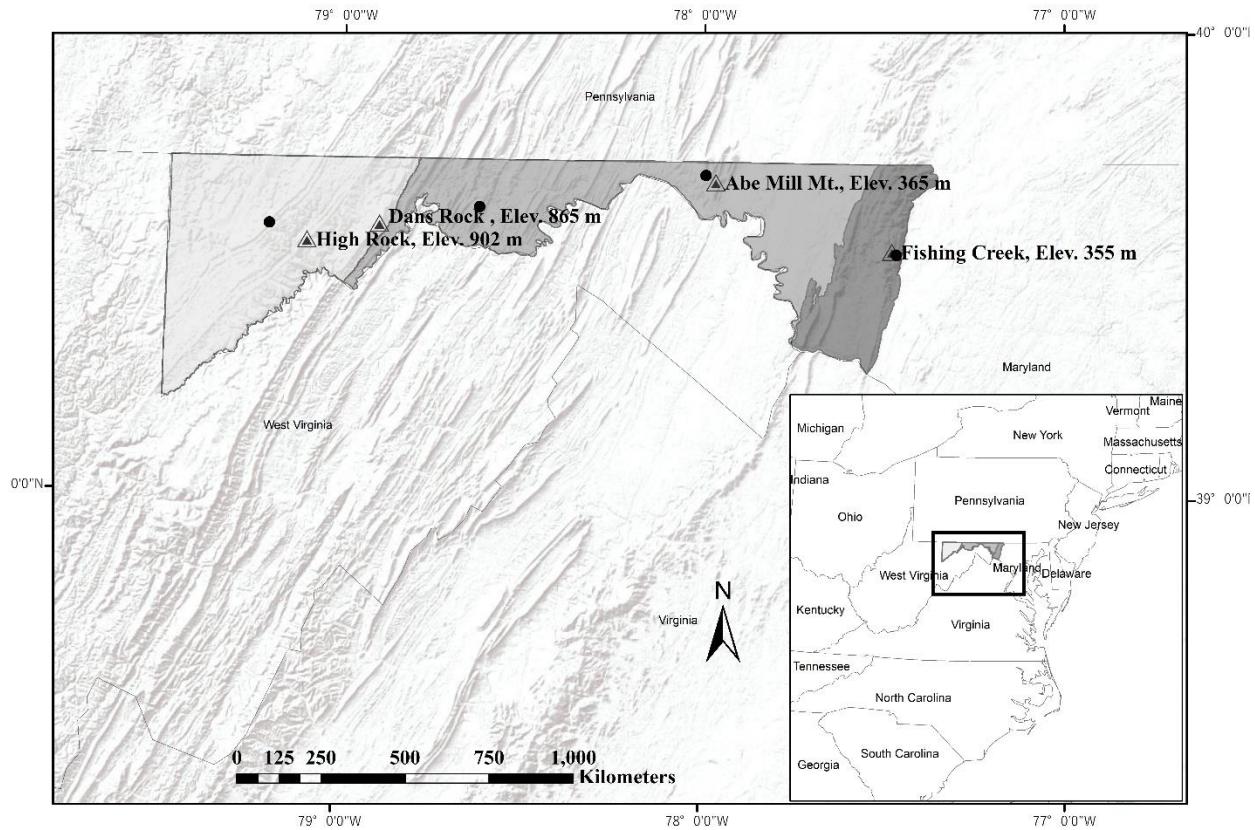
Figure 3. Legend

■ Acorn production

—●— Woodrat population

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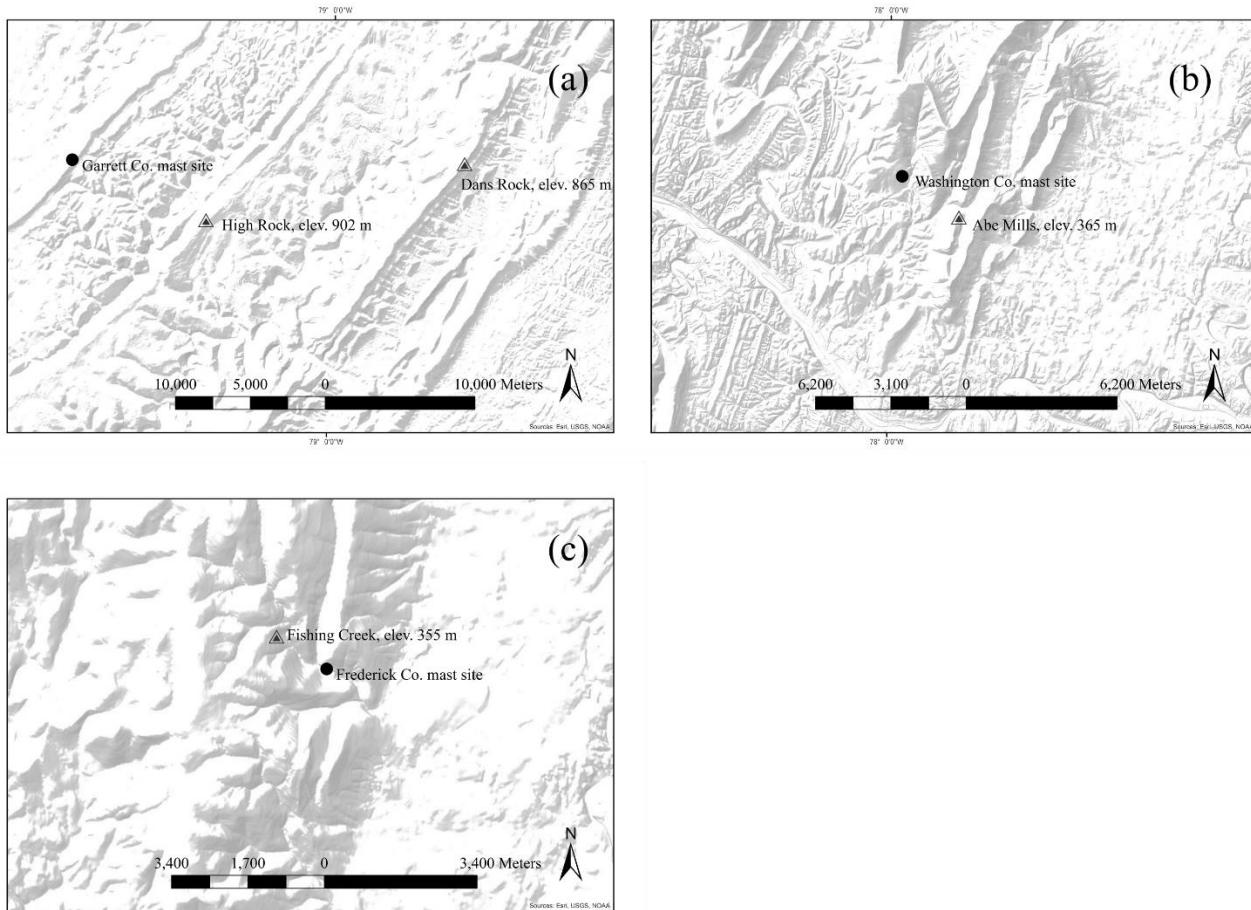
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473 Figure 1. Study location in western Maryland depicting four Allegheny woodrat live-trapping  
474 sites and nearest mast survey sites within the Appalachian Plateau, Ridge and Valley, and Blue  
475 Ridge physiographic provinces.

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479 Figure 2. Locations of mast survey sites and Allegheny woodrat live-trapping sites in four  
 480 counties in western Maryland, High Rock and Dans Rock woodrat sites with Garrett Co. mast  
 481 site (a), Abe Mills woodrat site and Washington mast site (b), and Fishing Creek woodrat site  
 482 with the Frederick mast site (c).

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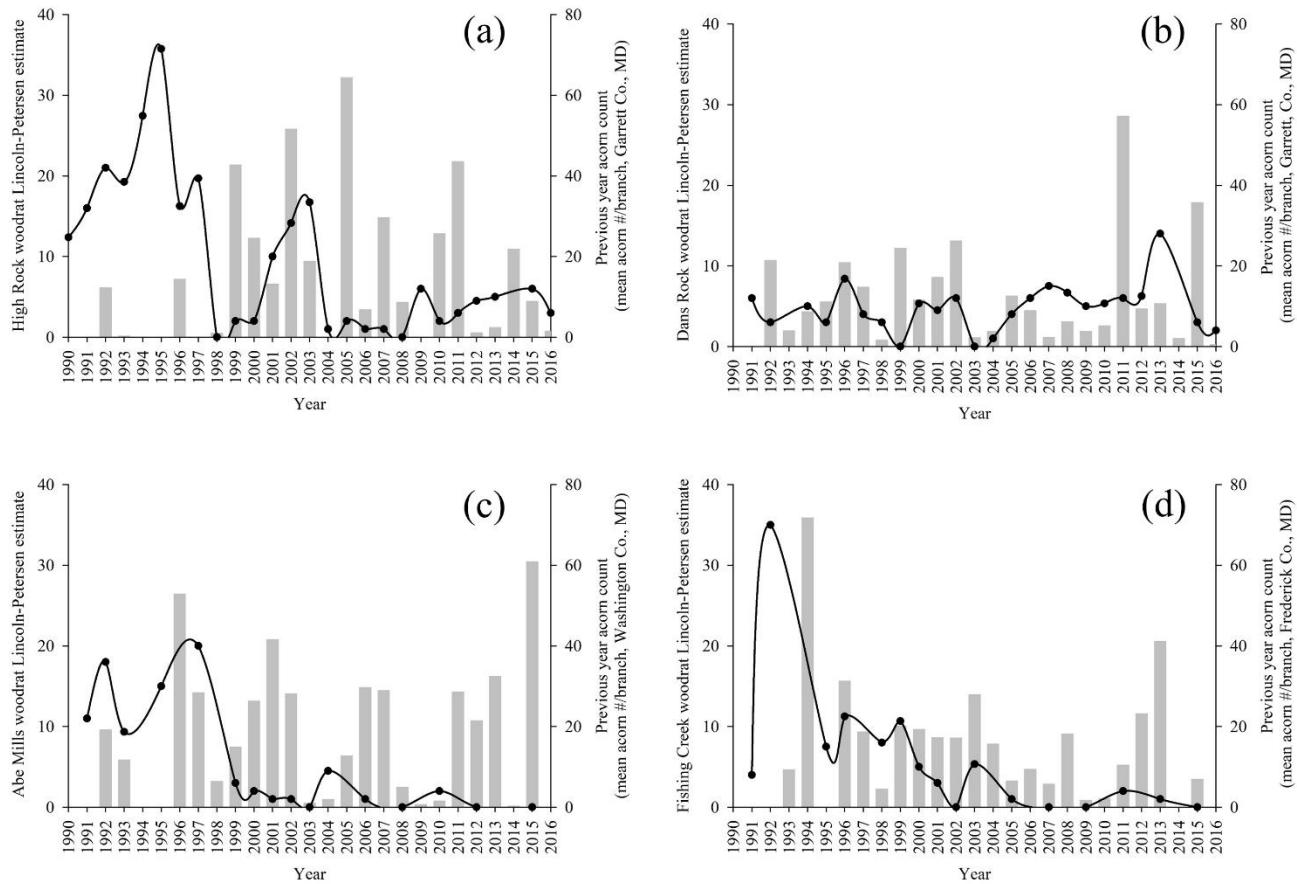
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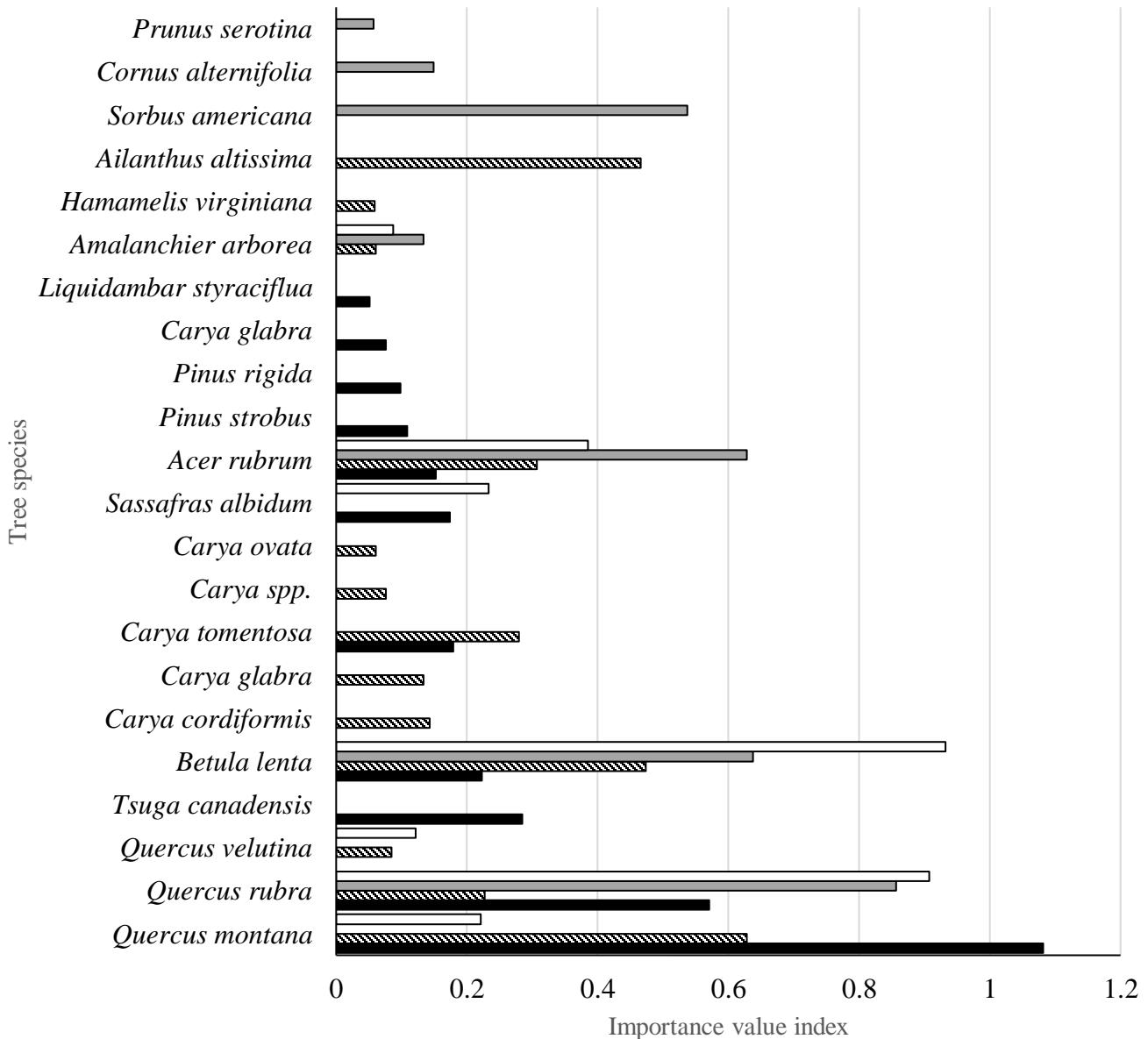


493 Figure 3. Allegheny woodrat population Lincoln-Petersen estimates in western Maryland (1991-  
494 2016) with previous year oak mast abundance from white and red oak tree species present at  
495 woodrat sites (1992-2016). Woodrat population estimates from High Rock with acorn production  
496 in Garrett Co. (a), woodrat populations from Dans Rock with acorn production in Garrett Co. (b),  
497 woodrat populations from Abe Mills with acorn production in Washington Co. (c), and woodrat  
498 populations from Fishing Creek with acorn production from Frederick Co. (d). Note: missing  
499 mast data for Garrett Co. years 1994, & 1995; Allegany Co. year 1991; Washington Co. 1994 &  
500 1995; Frederick Co. 1995. Missing woodrat data from 2014 at High Rock; 1993 & 2014, at Dans  
501 Rock; data collected biennially at Abe Mills and Fishing Creek.

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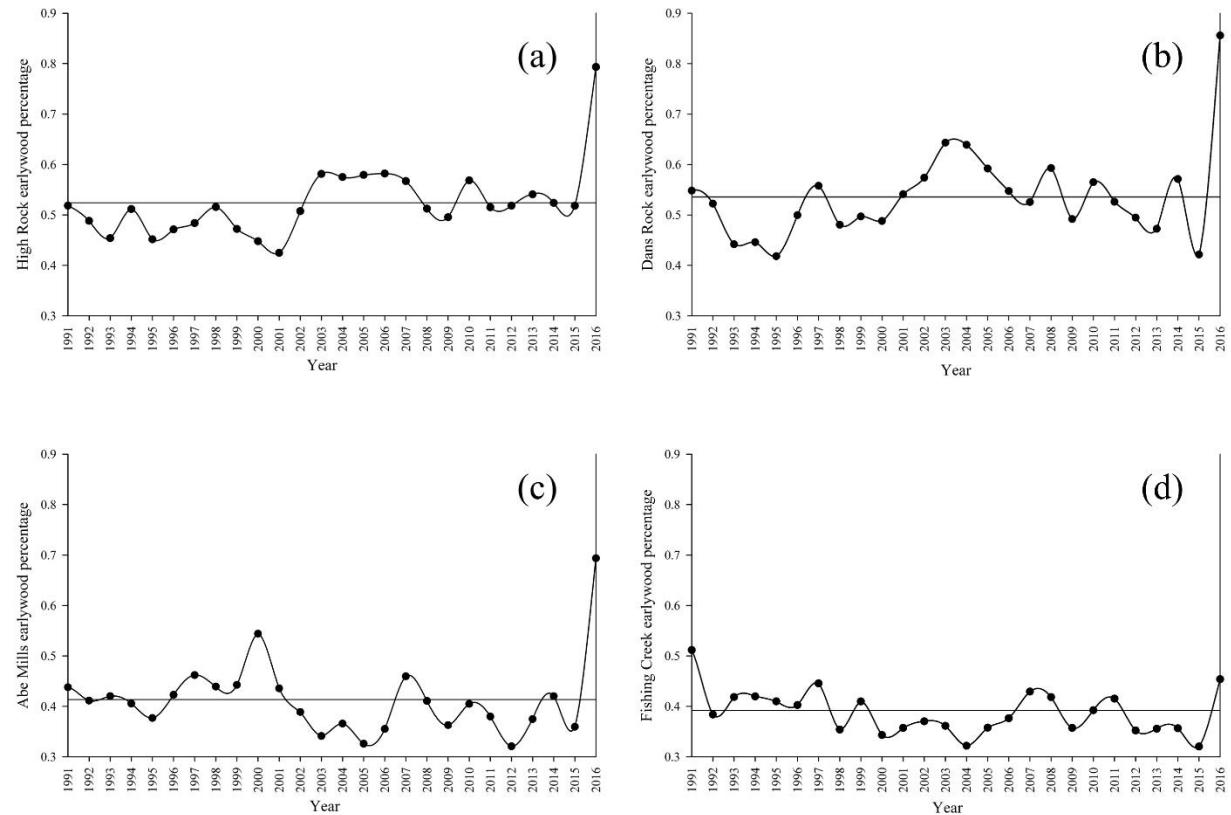
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506 Figure 4. Importance values (relative frequency, dominance, and density) of tree species within  
 507 random plots (0.02 ha, n = 4-6) at 4 active woodrat sites in western Maryland, High Rock, Dans  
 508 Rock, Abe Mills, and Fishing Creek. Importance values at each site is a proportion of 3.

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514 Figure 5. Dendrochronology analysis of percent earlywood from oak ring widths from 1991-  
 515 from tree cores collected at Allegheny woodrat sites in western Maryland, High Rock (a),  
 516 Dans Rock (b), Abe Mills (c), and Fishing Creek (d). Horizontal line represents mean percent  
 517 earlywood.

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526 Table 1. Spearman correlation coefficients ( $r$ ) and sample size ( $n$ ) of woodrat population  
 527 Lincoln-Petersen estimates and mean acorn counts from survey sites nearest woodrat sites in  
 528 western Maryland. Data was collected annually or biennially from 1990-2016.

Site	Current Year Mast	Previous year mast	2 year previous mast
High Rock <i>n</i> = 22	-0.3466	-0.0697	-0.0287
Dans Rock <i>n</i> = 22	0.0573	0.0846	-0.1359
Abe Mills <i>n</i> = 13	0.1784	-0.2905	-0.2369
Fishing Creek <i>n</i> = 16	0.2189	0.8001*	0.3235

529 \* p-value < 0.05

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532

533 Table 2. Importance values (IV = relative frequency, dominance, and density) of tree species within random plots (0.02 ha,  $n = 4-6$ ) at  
 534 four active woodrat sites in western Maryland. Species ordered from highest to lowest importance value. Importance values at each  
 535 site is a proportion of 300.

	High Rock		Abe Mills		Fishing Creek		Dans Rock	
Species	IV	Species	IV	Species	IV	Species	IV	IV
<i>Quercus rubra</i>	85.6	<i>Quercus rubra</i>	62.8	<i>Quercus montana</i>	108.1	<i>Betula lenta</i>		93.2
<i>Betula lenta</i>	63.7	<i>Betula lenta</i>	47.3	<i>Quercus rubra</i>	57.1	<i>Quercus rubra</i>		90.8
<i>Acer rubrum</i>	62.8	<i>Ailanthus altissima</i>	46.6	<i>Tsuga canadensis</i>	28.5	<i>Acer rubrum</i>		38.5
<i>Sorbus americana</i>	53.7	<i>Acer rubrum</i>	30.7	<i>Betula lenta</i>	22.3	<i>Sassafras albidum</i>		23.4
<i>Cornus alternifolia</i>	14.9	<i>Carya tomentosa</i>	27.9	<i>Carya tomentosa</i>	17.9	<i>Quercus montana</i>		22.1
<i>Amalanchier arborea</i>	13.3	<i>Quercus montana</i>	22.7	<i>Sassafras albidum</i>	17.4	<i>Quercus velutina</i>		13.2
<i>Prunus serotina</i>	5.7	<i>Carya cordiformis</i>	14.3	<i>Acer rubrum</i>	15.3	<i>Malus sylvestris</i>		10.3
		<i>Carya glabra</i>	13.3	<i>Pinus strobus</i>	10.9	<i>Amelanchier arborea</i>		8.6
		<i>Quercus velutina</i>	8.4	<i>Pinus rigida</i>	9.8			
		<i>Carya spp.</i>	7.6	<i>Carya glabra</i>	7.6			
		<i>Carya ovata</i>	6.0	<i>Liquidambar styraciflua</i>	5.1			
		<i>Amelanchier arborea</i>	6.0					
		<i>Hamamelis virginiana</i>	5.9					

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537

538 Table 3. Years in which earlywood of tree rings collected at 4 woodrat sites in western Maryland  
 539 are above average width. Woodrat sites are High Rock, Dans Rock, Abe Mills, and Fishing  
 540 Creek.

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Years with greater than average earlywood

High Rock	Dans Rock	Abe Mills	Fishing Creek
2003	1997	1991	1990
2004	2002	1997	1991
2005	2003	1998	1993
2006	2004	1999	1994
2007	2005	2000	1995
2010	2008	2001	1996
2013	2010	2007	1997
2016	2014	2016	1999
			2007
			2008
			2011
			2016

542

543 Table 4. Data from SILVAH computer software depicting prescription results of whether  
 544 Allegheny woodrat sites in western Maryland have adequate regeneration of competitive oak  
 545 species based on the percentage of plots with oak saplings.

	Abe Mills	Fishing Creek	
Competitive Regeneration			
Adequate	No (33.3% of plots)	No (66.7% of plots)	* 70% is adequate for regen.

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547 Table 5. Interseries correlations and mean sensitivities of mast survey tree ring widths in Garrett  
 548 Co. and Washington Co. Maryland. Spearman's rho ( $r$ ) of relationship between ring widths from  
 549 mast survey trees and trees from nearest Allegheny woodrat sites.

Site		Interseries correlation	Mean sensitivity	$r$
Garrett Co. mast site	red oaks	0.563	0.198	0.5583*
	white oaks	0.572	0.223	0.4906*
Washington Co. mast site	red oaks	0.611	0.237	0.4168*
	white oaks	0.595	0.243	0.0879

550 \* p-value <0.05